# The Subseasonal Experiment (SubX):

# A multi-model subseasonal prediction experiment

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#### **ABSTRACT**

SubX is a multi-model subseasonal prediction experiment with both research and real-time components. Seven global models have produced seventeen years of retrospective (re-) forecasts and one year of weekly real-time forecasts. Both the re-forecasts and forecasts are archived at the Data Library of the International Research Institute for Climate and Society, Columbia University, for research on subseasonal to seasonal predictability and predictions. The real-time forecasts started in July 2017 to provide guidance to the week 3-4 outlooks issued by the Climate Prediction Center at the NOAA National Centers for Environmental Prediction. Evaluation of SubX model biases demonstrates that model bias patterns are already established at week 1 and grow to week 4. Temperature and precipitation skill over the U.S. exists for week 3-4 predictions for specific regions and seasons. The SubX multimodel ensemble is more skillful than any individual model overall. Skill in simulating the Madden-Julian Oscillation and the North Atlantic Oscillation is also evaluated and found to be comparable to other subseasonal modeling systems. SubX is also able to make useful contributions to operational forecast guidance at the Climate Prediction Center.

## 1. Introduction

A well-known "gap" exists in our current prediction systems at the subseasonal (2-weeks to several months) timescale, as the memory of the atmospheric initial conditions is increasingly lost, while information in the slowly-evolving surface boundary conditions has had insufficient time to be felt (National Research Council (2010); Brunet et al. (2010); National Academies of Sciences, Engineering and Medicine (2017); Mariotti et al. (2018); Black et al. (2017)). Although there is evidence that predictability exists at this timescale in some regions and seasons (e.g. Pegion and Sardeshmukh (2011); DelSole et al. (2017); Li and Roberston (2015)), it is not clear whether the full potential of prediction skill has been realized. Additionally, many questions remain regarding our fundamental understanding of the physical processes giving rise to predictability, as well as how best to design, build, post-process, and verify a subseasonal prediction system.

Until recently, it has been difficult to assess the skill of subseasonal predictions. Re-forecast databases consisted of monthly or seasonal predictions that were not initialized frequently enough to capture the full range of subseasonal variability (e.g., NMME, DEMETER, CHFP, ENSEM-BLES, APCC/CliPAS) (Kirtman et al. (2014); Palmer et al. (2004); Tompkins et al. (2017); Weisheimer and Reyes (2009); Wang et al. (2008)) or weather predictions that did not extend to long enough lead-times for subseasonal predictions (e.g. TIGGE, GEFS 2nd generation reforecasts) (Swinbank et al. (2016); Hamill et al. (2013)). Initial efforts to produce subseasonal re-forecasts and evaluate skill focused primarily on the Madden-Julian Oscillation (MJO) and boreal summer intraseasonal oscillation (e.g., ISVHE, Neena et al. (2014) and NCEP-CFSv2 45-day re-forecasts, Saha et al. (2014); Wang et al. (2013)).

More recently, a focused community effort has developed to facilitate research on a broad range of subseasonal predictions and to understand current and potential capabilities for improving sub-

seasonal skill. The World Weather Research Programme (WWRP)/World Climate Research Program (WCRP) Subseasonal to Seasonal (S2S) Prediction Project is an international project bring-107 ing together the weather and climate prediction communities to improve physical understanding 108 and forecast skill for the S2S timescale (Robertson et al. (2015); Vitart et al. (2017)). A major 109 contribution of this project is the development of a S2S forecast database consisting of operational forecasts (3 weeks behind real time), and re-forecasts, from 11 international global producing cen-111 ters of long-range forecasts for S2S research purposes (Vitart et al. 2017). SubX contributes to 112 the community S2S effort by providing a publicly available database of forecasts and re-forecasts. A unique contribution of SubX is that the real-time forecasts are made available without delay 114 to support potential use in real-time applications. Additionally, the NOAA/Climate Program Of-115 fice, Modeling Analysis and Predictions Program has developed an S2S Prediction Task Force consisting of researchers using the WWRP/WCRP S2S and SubX databases for research on sub-117 seasonal prediction and predictability (Mariotti et al. (2018) and Mariotti et al. (2018), manuscript submitted to EOS).

There is ever-increasing demand for predictions on these timescales, specifically predictions 120 relevant for risk reduction and disaster preparedness, public health, energy, water management, 121 agriculture, and marine fisheries (see White et al. (2017) for a review of S2S applications). In 122 the U.S., the NOAA National Centers for Environmental Prediction (NCEP) Climate Prediction 123 Center (CPC) was mandated to begin issuing week 3-4 outlooks for temperature and precipitation. 124 Given that there are immediate needs for understanding predictability and making skillful oper-125 ational predictions on these timescales, a research-to-operations (R2O) project provides the ideal 126 testbed for quick progress in making subseasonal predictions while continuing research efforts that 127 can lead to increased subseasonal prediction skill in the future.

SubX was launched to provide such a testbed. It follows in the footsteps of the North American 129 Multi-model Ensemble (NMME), a R2O project focused on monthly and seasonal (1-month to 1-130 year) predictions (Kirtman et al. 2014). NMME contains a publicly available research archive of 36 131 years of re-forecast and forecast data, and has been providing real-time seasonal forecast guidance 132 since 2011. Similarly, SubX brings together seven global models, following a specific protocol to make both re-forecasts and real-time forecasts on the subseasonal timescale. The collection of 134 models consists of U.S. and Canadian operational models as well as research models. The inclu-135 sion of research models, another unique contribution of SubX, allows research groups to approach model improvements from a practical prediction perspective and to test those improvements in a 137 real-time prediction framework. Given the timescale of interest, some models originate from the 138 numerical weather prediction (NWP) community while others come from the seasonal prediction community, bringing together critical expertise from both communities to make progress on sub-140 seasonal prediction. The re-forecast and real-time forecast data are made publicly available to facilitate broad research and applications community use. Additionally, SubX forecasts are being provided each week to NCEP/CPC, and multi-model ensemble (MME) guidance is produced in 143 support of their week 3-4 outlooks. 144

The purpose of this paper is to describe SubX, the available data (Section 2) and the evaluation of model biases and skill for operationally relevant variables (Section 3c,d). We also provide skill evaluation for some known sources of subseasonal predictability (Section 3e) and a description of how SubX contributes to the official NCEP/CPC week 3-4 outlooks (Section 4).

#### 2. Protocol and Database

Each of the modeling groups participating in SubX agreed to follow a specific re-forecast and real-time forecast protocol. Given the demanding requirements of both re-forecasts and real-time forecasts, the protocol itself represents a compromise between the traditional operating modes of the NWP and seasonal prediction communities. For example, NWP groups are accustomed to running in real-time with frequent initializations, but producing shorter period re-forecast databases and only recently extending model runs to subseasonal timescales. In contrast, the seasonal prediction community typically produces large re-forecast datasets and extended range predictions, but not with weekly initializations.

While each modeling group was allowed to determine the details of their individual prediction system, (e.g., initialization, resolution, earth-system components, etc.), the SubX protocol required 159 that each group adhere to a rigid scope of retrospective and real-time forecasts. The groups agreed 160 to produce 17 years of re-forecasts out to a minimum of 32 days for the years 1999-2015. Initial-161 ization was required at least weekly, and a minimum of three ensemble members were required, 162 although more were encouraged. Since the land-surface (e.g. soil moisture) is an important source 163 of subseasonal predictability (Koster et al. (2010); Koster et al. (2011)), all models were required 164 to include a land surface model and initialize both the atmosphere and land. The SubX project has also performed one year of real-time forecasts. During this demonstration period, forecasts 166 were required to be made available to NCEP/CPC by 6pm every Wednesday. This requirement was relaxed to 6am Thursday partway through the real-time demonstration period. All data were 168 provided on a uniform 1°x1° longitude-latitude grid as full fields to both NCEP/CPC for their in-169

- ternal use and the International Research Institute for Climate and Society Data Library (IRIDL)
- for public dissemination<sup>1</sup> (Kirtman et al. 2017).
- 172 a. Models
- Seven modeling groups participate in SubX, these are:
- National Centers for Environmental Prediction (NCEP) Climate Forecast System, version 2
   (NCEP-CFSv2);
- NCEP Environmental Modeling Center, Global Ensemble Forecast System (EMC-GEFS);
- Environmental and Climate Change Canada Global Ensemble Prediction System, Global Environmental Multi-scale Model (ECCC-GEM);
- National Aeronautics and Space Administration, Global Modeling and Assimilation Office,
   Goddard Earth Observing System, version 5 (GMAO-GEOS5);
- Naval Research Laboratory, Navy Earth System Model (NRL-NESM);
- National Center for Atmospheric Research Community Climate System Model, version 4 run
   at the University of Miami Rosenstiel School for Marine and Atmospheric Science (RSMAS CCSM4);
- National Oceanic and Atmospheric Administration, Earth System Research Laboratory,
   Flow-Following Icosahedral Model (ESRL-FIM).
- For additional details, see Table 1.

<sup>&</sup>lt;sup>1</sup>http://iridl.ldeo.columbia.edu/SOURCES/.Models/.SubX/

All groups have provided re-forecasts for the 1999-2015 period with the exception of ECCC-188 GEM (1999-2014)<sup>2</sup> and most have provided additional re-forecasts to fill the gap between the end 189 of the SubX re-forecast period and beginning of the real-time forecasts in July 2017. Five of the 190 groups use fully coupled atmosphere-ocean-land-sea ice models (NCEP-CFSv2, GMAO-GEOS5, 191 NRL-NESM, RSMAS-CCSM4, ESRL-FIM), while two groups use models with atmosphere and land components forced with prescribed sea surface temperatures (EMC-GEFS, ECCC-GEM). 193 In the EMC-GEFS forecast system, SSTs are specified by relaxing the SST analysis to a com-194 bination of climatological SST and bias-corrected SST from operational NCEP-CFSv2 forecasts. The longer the lead time, the more weighting given to the bias-corrected NCEP-CFSv2 forecast 196 SST. In the ECCC-GEM forecast system, the SST anomaly averaged from the previous 30 days 197 is persisted in the forecast. The sea-ice cover is adjusted in order to be consistent with the SST change. Most groups provide 4 ensemble members for the re-forecasts (NCEP-CFSv2, ECCC-199 GEM, GMAO-GEOS5, NRL-NESM, ESRL-FIM) with some groups using lagged ensembles and 200 others using their own ensemble generation systems to produce initial conditions. Some groups provide additional ensemble members in real-time (e.g. RSMAS-CCSM4, EMC-GEFS).

# 203 b. Description of Datasets

There is a demand for many S2S-relevant variables from the research community for evaluating a range of S2S phenomena. This demand together with daily output frequency, weekly initial conditions, seven models, and three or more ensemble members places extremely high demands on the data server, therefore a priority for fields to be distributed was defined. Ten fields were identified as critical to supporting NCEP/CPC operational products and were designated as Priority 1 variables. These variables include, geopotential height at 200 and 500 hPa, zonal and meridional

<sup>&</sup>lt;sup>2</sup>ECCC-GEM runs their re-forecasts on the fly as part of their operational practice and will fill in 2015 at a later date

winds at 200 and 850 hPa, temperature at 2m, precipitation, surface temperature (SST + Land),
and outgoing longwave radiation (see Table 2). This paper will focus on evaluation of the models
using these Priority 1 variables. A second set of 21 additional fields have been identified as key
variables for supporting S2S research, labelled Priority 2 variables (see Table 3). Both priority 1
and 2 variables are publicly available through the IRIDL.

### 5 3. Re-forecast Evaluation

# 216 a. Verification Datasets

Calculation of skill requires a verifying observational dataset. Where applicable, the datasets used correspond to those used by NCEP/CPC for verification of their forecasts. For 2m temperature over land, the CPC daily temperature dataset with horizontal resolution of 0.5°x0.5° is used<sup>3</sup>. This data is provided as a maximum and minimum daily temperature, thus the average daily temperature is calculated as the average of Tmax and Tmin (Fan and Van Den Dool 2008). For precipitation over land, the CPC Global Daily Precipitation dataset (0.5°x0.5°) is used (Xie et al. (2007); Chen et al. (2008)). Verification datasets are re-gridded to the coarser SubX model resolution of 1° x 1° prior to performing model evaluation.

We also evaluate the skill of two subseasonal phenomena that are known sources of S2S predictability - the Madden-Julian Oscillation (MJO) and the North Atlantic Oscillation (NAO). The MJO skill is evaluated using the real-time multivariate MJO index (RMM) (Wheeler and Hendon 2004). The observed index is calculated using the NCEP/NCAR Reanalysis (Kalnay et al. 1996) and NOAA Interpolated OLR (Liebmann and Smith 1996). The observed NAO index is calculated using 500 hPa geopotential height from NCEP/NCAR Reanalysis (Kalnay et al. 1996).

<sup>&</sup>lt;sup>3</sup>The original data can be found at ftp://ftp.cpc.ncep.noaa.gov/precip/PEOPLE/wd52ws/global\_temp/

#### b. Multi-model Ensemble

Since the SubX models are initialized on different days, it is challenging to produce a MME 232 (e.g. Vitart (2017)). In SubX, we choose to align the target dates of each model to produce a MME. Following nearly the same procedure used for NCEP/CPC real-time forecasts, Saturday is 234 defined as the first day of a given week. All re-forecasts for all models that are produced during the 235 prior week (previous Saturday through Thursday) are used to produce a MME forecast for weeks 1-4 individually, where week 1 is defined as the first Sat-Fri interval. Friday initializations are not 237 included in an attempt to mimic real-time forecast procedures. In real-time, forecasts provided 238 after Thurs 6am cannot be processed in time to be used by the forecasters. This procedure, which also involves forming averages of daily forecasts over the appropriate week, is repeated for weeks 240 2 through 4. Weeks 3 and 4 are then averaged together to produce week 3-4 forecasts. Using this 241 procedure, a multi-model ensemble re-forecast, equally weighted by *model* can be produced by 242 averaging the ensemble means of each of the models for their week 3-4 forecasts. We choose to equally weight by model when evaluating the re-forecasts in order to understand the contribution 244 of each model to the MME. There are some potential drawbacks to the MME procedure. For example, some models will contribute older forecasts to the MME than others, depending on their initialization date. The extent to which decreased skill with longer lead time is balanced 247 by increased ensemble size and model diversity in such an ensemble remains an open research question. Additionally, since the period over which forecasts are obtained is Sat-Thurs (a 6-day period, used to mimic the 6-day period of real-time forecast initializations described in Section 250 4) and some of the models initialize once every 7 days, there are times when a model will not be included in the MME, depending on how the re-forecast dates fall. For example, this occurs with 252 the ECCC-GEM model in approximately 13% of the weekly forecasts. Finally, in rare cases, it is

not possible to produce a week 3-4 forecast for the ECCC-GEM model since part of week 4 is not available due to the re-forecast initialization day and 32-day re-forecast length.

## c. Model Biases

A forecast is typically initialized with an analysis in which observations have been assimilated, 257 thereby constraining the analysis to represent the observed state as close as possible. As the 258 forecast time increases, the model state on average moves from the observed climate towards 259 a model-intrinsic climate, which is typically biased. Therefore, it is common practice in S2S predictions to estimate and remove the mean forecast bias using a set of re-forecasts (Smith et al. 261 1999). Additionally, the skill of forecasts at the S2S timescale is typically evaluated in terms 262 of anomalies or differences from the mean climate, thus requiring a climatology based on reforecasts. Both of these needs are met by determining the mean climate (i.e. climatology) as a 264 function of lead time and initialization date. For seasonal predictions using monthly data, it is 265 typical to calculate the model climatology as a multi-year average for each forecast start month and lead or target time (Tippett et al. 2018). However, calculation of the climatology is not trivial 267 for subseasonal re-forecasts due to differences in initialization day and frequency among models. 268 For example, some forecast models are initialized on the same Julian days every year while others are initialized on a day-of-the-week schedule, meaning that the Julian initialization dates shift 270 from year to year. In the first case, the 17-year re-forecast period yields 17 model runs on some 271 calendar dates and none on the rest. In the second case, only 2-3 model runs are available for each day of the year from which to determine the climatology. An additional challenge for the SubX 273 project was that a climatology was needed to produce bias-corrected forecast anomalies in real-274 time for NCEP/CPC prior to the completion of the re-forecasts at some centers. The methodology described here was developed by the SubX Team to resolve these issues and is used for producing
SubX real-time forecasts and model evaluation.

To compute the climatology, the first step is to calculate ensemble means for individual days of 278 each forecast run. For most groups, lagged ensembles are produced using initialization dates from 279 different hours of the same initialization day; these are averaged to yield ensemble means for the 24-h period spanning each forecast day. In the case of the NRL-NESM, which produces ensemble 281 means over runs started on four consecutive days because ocean data assimilation is based on a 282 24-hour data cycle, the ensemble mean consists of a single member for each day. Next, for each day of the year (1-366), a multi-year average of the ensemble means is calculated. Depending 284 on how model runs are scheduled, this may not produce a climatology for each day of the year 285 for some models. Finally, a triangular smoothing window of 31 days (+/- 15 days) is applied in a periodic fashion such that December smoothing includes January values and vice versa. This 287 approach means that the forecast climatology can be computed from a partial re-forecast database 288 and only re-forecasts with nearby initializations are required. Due to drift from the initial quasiobserved state to the models own internal mean state, the climatology for a given calendar day is 290 expected to be different for different lead times. Therefore, the above procedure is performed for 291 each lead time and each model individually. Removal of this climatology from the corresponding full fields produces anomalies and effectively performs a mean bias correction (Becker et al. 2014). 293 Climatologies for the Priority 1 variables have been computed following this procedure and are 294 available from the IRIDL.

Comparison of the model climatology with the observed climatology allows us to evaluate the model mean biases and their evolution at subseasonal timescales. While mean biases have been evaluated extensively at the monthly and seasonal timescales (e.g. Jin et al. (2008); Saha et al.

(2014)), they have not been comprehensively evaluated in models at the subseasonal timescale, except in the context of the MJO (e.g. Agudelo et al. (2008); Hannah et al. (2015); Kim (2017); 300 Lim et al. (2018)). Two exceptions are Sun et al. (2018a) and Guan et al. (2018, manuscript 301 submitted to WAF). These studies evaluate the mean biases in the ESRL-FIM and EMC-GEFS re-forecasts used in SubX, respectively. Evaluations of model biases are particularly important 303 since there is evidence that model prediction errors are related to model mean bias errors (e.g. Lee 304 et al. (2010); DelSole and Shukla (2010); Green et al. (2017)). The extent to which this is the 305 case at subseasonal timescales is unknown. To evaluate the overall biases in the SubX system the average mean bias over all seven SubX models for week 1 (days 1-7) and week 4 (days 22-28) 307 are calculated as model climatology minus observed climatology for 2m Temperature (Figure 1) and Precipitation (Figure 2), similar to Sun et al. (2018a). Observed climatology is calculated 309 using the same methodology described above for the models with the verification datasets used 310 by NCEP/CPC for temperature and precipitation (Section 3a). Model biases are already well established in both temperature and precipitation at week 1. On average, warm biases are evident in the central U.S. with the strongest biases >1.5°C during Jun-Jul-Aug. These warm biases are 313 reduced by week 4 for re-forecasts initialized in Dec-Jan-Feb (DJF) and Sep-Oct-Nov (SON), but 314 are increased for those initialized in Mar-Apr-May (MAM) and Jun-Jul-Aug (JJA). In DJF, cold 315 biases are also present which increase to week 4, while re-forecasts initialized in SON show small 316 changes from week 1 to week 4. For precipitation, a summer dry bias is evident in the central U.S. 317 at week 1, which grows slightly to week 4. While model biases generally grow in amplitude from week 1 through week 4, increases in biases with lead days are smaller at longer leads and may be 319 approaching saturation near the end of week 4. Overall, the SubX mean bias has a larger seasonal 320 cycle than observed. The average bias over all models is generally smaller than any individual model biases in both temperature and precipitation (not shown).

#### 323 d. Global and North America Skill Assessment

In this section, we evaluate the skill for the individual and multi-model combination of the SubX models using both deterministic and probabilistic skill measures. The skill assessment is performed for temperature and precipitation over land for global and North America domains. In most cases, the MME outperforms any individual model, one of the benefits of using a MME (Hagedorn et al. (2005); Weigel et al. (2008); Weisheimer and Reyes (2009); Kirtman et al. (2014); Becker et al. (2014); Becker and Van Den Dool (2016)).

## 330 1) DETERMINISTIC SKILL

The deterministic skill of SubX re-forecasts is evaluated using the anomaly correlation (ACC) and root mean square error (RMSE). For temperature and precipitation, the results using both metrics are similar, therefore only the ACC is shown here. The ACC is calculated using the ensemble mean for each model.

Since the subseasonal timescale begins at week 2, we start by evaluating the Dec-Jan-Feb (DJF) initialized re-forecasts with the ACC of global temperature and precipitation for week 2 (Figure 3). Most regions of the globe have ACC > 0.5 for 2m temperature at 2-weeks. For precipitation, there are substantially large regions with ACC > 0.5, including the western U.S., east Asia, and Brazil.

Next, we evaluate week 3-4 skill over North America, the region and timescale relevant to NCEP/CPC outlooks. The week 3-4 MME ACC over North America is shown in Figure 4 for 2m Temperature and Figure 5 for precipitation for re-forecasts initialized over four seasons. Consistent with previous studies, winter skill is higher than summer skill for both temperature and precipitation (e.g. DelSole et al. (2017)). Temperature skill is positive for all seasons with regions

of ACC >0.2 over most of North America with the exception of a few high latitude locations.

Additionally, regions of skill >0.4 are also evident in each season. As expected, precipitation skill is lower than temperature, but there are substantial regions in each season for which the MME ACC >0.2. Figure 6 provides a comparison of the average ACC over North America for week 3-4 for the individual models and the SubX MME. It is clear that although overall skill is low due to aggregation of low and high skill grid points, the MME exceeds the skill of any individual model in all seasons. It is also noted that there is no clear stratification in skill by model configuration (e.g. number of ensemble members, coupled vs. uncoupled, operational vs. research).

### 353 2) Probabilistic Skill

The SubX models are also evaluated using probabilistic skill scores, specifically, the ranked probability skill score (RPSS), for tercile categories of above, near, and below normal. Due to the small ensemble size of individual models, RPSS is calculated only for the full multi-model ensemble (typically 34 members). Figures 7 and 8 show the RPSS for week 3-4 North American 2m temperature and precipitation. Positive RPSS indicates skill better than a forecast of climatology, therefore any region with positive RPSS can be considered skillful. There are substantial regions and seasons of skill better than climatology for 2m temperature (Figure 7). For precipitation, skill is evident in spring and fall in the western and central U.S. (Figure 8).

## e. Sources of Subseasonal Predictability

A number of potential sources of predictability have been identified for the subseasonal timescales (National Research Council (2010); National Academies of Sciences, Engineering and Medicine (2017)). Correctly simulating the relevant processes and predicting their impacts is the

key to successful subseasonal prediction; they should therefore be fully explored in subseasonal re-forecast databases. The available Priority 1 variables (Section 2b and Table 2) allow us to evaluate the skill of two of these predictability sources in the SubX models: the MJO and NAO.

### 1) THE MADDEN-JULIAN OSCILLATION

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The Madden-Julian Oscillation is the largest source of tropical variability on the subseasonal 370 timescale. The MJO affects temperature and precipitation in the extratropics through various 371 mechanisms, including the NAO (Cassou (2008); Lin et al. (2009)) and atmospheric rivers (e.g. 372 Guan et al. (2012); Mundhenk et al. (2018)), among others (Zhang (2013); see Stan et al. (2017) for a review of MJO teleconnections). Given its impact, prediction of the MJO is considered a key 374 component of a skillful subseasonal prediction system. Therefore, we evaluate its skill in SubX in 375 terms of the bivariate ACC and RMSE for ensemble mean re-forecasts initialized Nov-Mar (Figure 9) (Rashid et al. (2010)). The skill of each model and the MME are calculated weekly and 377 for weeks 3-4 combined, following the SubX MME ensemble methodology (Section 3b). Most 378 SubX models have ACC >0.5 and RMSE < 1.4 out to week 3-4. This range of prediction skill 379 is similar to the MJO skill of the WWRP/WCRP S2S models, with the exception of the ECMWF 380 model which far exceeds the skill of any other S2S or SubX model (Vitart 2017). It is of interest 381 that the two most skillful models have very different configurations. The GMAO-GEOS5 model is a fully coupled atmosphere-ocean-land-sea ice model that has contributed to the monthly and 383 seasonal NMME. GMAO-GEOS5 contributes only 4 ensemble members in SubX. In contrast, the 384 base model of EMC-GEFS (i.e. Global Forecast System) is a NWP atmosphere-land model forced with prescribed SST that takes into account the day-to-day SST variability from the bias-corrected 386 operational NCEP-CFSv2 forecast and contributes 11 ensemble members to the SubX re-forecasts. 387 In addition to the configuration of the single model, the ensemble generation approach has been

found to be important for the MJO skill in ensemble forecasts (Neena et al. (2014); Vitart (2017);
Li and Roberston (2015)). The MME is more skillful than any individual model in both metrics.

# 91 2) THE NORTH ATLANTIC OSCILLATION

One of the key sources of extratropical subseasonal variability is the NAO, which has been 392 linked to periods of extreme winter weather on subseasonal timescales in Eastern North America 393 and Europe (e.g Hurrell et al. (2010)). Until recently, there was little evidence that the NAO could 394 be skillfully predicted beyond weather timescales (e.g. Johansson (2007); Kim et al. (2012)); how-395 ever, recent studies have found that the United Kingdom Met Office (UKMET) seasonal prediction system can produce skillful monthly predictions of the NAO up to 1-year due to high resolution 397 in both the atmosphere (0.83° longitude by 0.55° latitude) and ocean (0.25° longitude-latitude) 398 models, large-ensembles (>20 members), and long re-forecast periods ( $\sim 40$  years) (Scaife et al. (2014); Dunstone et al. (2016)). Given this newly found predictability of the NAO and its poten-400 tial impacts on extreme weather at S2S timescales, we evaluate the skill of the NAO in the SubX 401 models. Figure 10 shows the ensemble mean anomaly correlation (left) and RMSE (right) of the SubX models forecasting the NAO index averaged for weeks 1-4 individually and for weeks 3-4 403 combined using initialization dates during the northern hemisphere winter (Dec-Jan-Feb). For this 404 analysis, the NAO is defined as the projection of the winter geopotential height at 500 hPa (Z500) onto the leading North Atlantic EOF spatial pattern of Z500 (0°-90°N, 93°W-47°E). The skill of 406 each model and the MME are calculated following the SubX MME ensemble methodology (Sec-407 tion 3b). The most skillful models and the MME have ACC > 0.5 and RMSE < 1.4 to week 2. The MME has similar skill to the most skillful models in both metrics. However, the *week 3-4* skill 409 of the 34-member SubX MME is not as skillful as the *monthly* correlations found in the UKMET 410 seasonal prediction system (Scaife et al. 2014).

#### 4. Real-time Forecasts

SubX produces real-time forecasts each week and provides them to NCEP/CPC as dynamical 413 guidance for their official week 3-4 temperature outlook and experimental week 3-4 precipitation outlook. These outlooks show regions of increased probability of above-normal or below-normal (i.e. two category) temperature and precipitation, and regions where the probabilities of above or 416 below normal are equal (i.e. 50/50 chance of above or below normal). To illustrate, the official week 3-4 temperature and precipitation outlook produced on 6 July 2018 is shown in Figure 10. 418 Recall that we evaluated the probabilistic skill of 3-category re-forecasts in Section 3. Ideally, we 419 would be able to produce skillful forecasts that can differentiate between more than two categories. However, the two category probabilities are used for real-time forecasts because they are currently 421 more skillful. 422

Forecast guidance products have been developed at NCEP/CPC using the SubX forecasts for 423 500hPa geopotential height, 2m temperature, and precipitation. For temperature and precipitation, MME bias corrected anomalies and probabilistic guidance products are shown in Figure 11 425 (left). The procedure for producing these guidance products is shown schematically in Figure 12. 426 NCEP/CPC collects the weekly forecast data from each modeling group every Thursday by 6am ET, using the most recently initialized forecast runs available for each model from the prior Friday through Wednesday, with the latest initialization from 00 UTC Thursday provided by ECCC-429 GEM. Bias-corrected anomalies are calculated for each model and ensemble member using the reforecast climatologies described in section 3c. From these anomalies, the week 3-4 multi-model 431 mean anomalies are produced by averaging each ensemble member from each model, thus in the 432 real-time forecasts each ensemble *member* is given equal weight in calculating the multi-model mean (Figure 11, upper left panels); recall that in Section 3b, multi-model results gave each *model* 

equal weight. Since some models produce additional ensemble members in real-time (Table 1), 435 the SubX real-time forecasts have 79 ensemble members, while the MME re-forecasts described 436 in Section 3 typically have 34 ensemble members. Each ensemble member is given equal weight 437 in real-time forecast anomalies so that the multi-model anomaly forecasts are consistent with the 438 multi-model probability forecasts. A preliminary analysis of multi-model ensemble anomaly correlations showed that multi-model anomalies that equally weighted ensemble *members* were more 440 skillful than those that equally weighted *models* (not shown). This suggests that the ensemble mean anomalies of models with fewer ensemble members are less skillful, however individual 442 ensemble members may be equally skillful. Determining the optimal weighting procedure is an 443 active area of research. Probability guidance of above- and below-normal are then derived by counting the number of ensemble members from all model runs that exceed or do not exceed the model climatological mean. The probabilistic map is produced for the 'above-only' category 446 (cf. Figure 11) and probabilities of below-normal are inferred to be one minus the probability of above-normal. 448

Using guidance from SubX and other tools, NCEP/CPC forecasters produce the official maps
for week 3-4 outlooks. These maps for July 6, 2018 temperature and precipitation show aboveand below-normal areas consistent with the corresponding probabilities and anomalies from the
SubX multi-model ensemble, demonstrating the use of SubX in the NCEP/CPC official outlooks
(Figure 11).

## 5. Concluding Remarks

This paper introduces SubX to the S2S community. SubX is a multi-model R2O project in which seven models have produced a suite of historical re-forecasts and also provide weekly real-time

forecasts. The re-forecast database has been completed and the real-time forecasts have been operating for over a year. Both real-time and re-forecasts are publicly available through the IRI Data
Library. We wish to emphasize that the SubX database is complementary to the WWRP/WCRP
S2S prediction project database. The inclusion of research and operational models and availability
of both real-time and retrospective forecasts in SubX provides a unique contribution to community
efforts in subseasonal predictability and prediction.

Here we have provided an initial assessment of subseasonal biases and skill for the SubX models 463 as well as a demonstration of the SubX contribution to real-time operational predictions. There have been few evaluations of model biases for subseasonal timescales. We show that for the SubX 465 models, bias patterns over the U.S. are already well established at week 1 and grow to week 4. 466 Further research should evaluate the impact of these biases on prediction skill. The SubX MME demonstrates skill for week 3-4 predictions of temperature and precipitation in specific regions and 468 seasons. This is confirmed using both probabilistic and deterministic skill metrics. On average, 469 the MME is more skillful than individual models over North America. We also evaluated the skill of MJO and NAO predictions. MJO skill is comparable with most of the WWRP/WCRP S2S 471 models. However, we have evaluated only a single metric which is known to capture primarily 472 the circulation fields (Straub 2013). The NAO skill is also comparable to other modeling systems 473 with the exception of the UKMET Office. Future work should explore the model configuration 474 necessary to produce NAO skill consistent with the UKMET Office system. Finally, we have 475 demonstrated that SubX can provide useful MME guidance to NCEP/CPC operational products in 476 real-time. All seven modeling groups, including research models, have provided SubX forecasts 477 each week on time throughout the real-time demonstration period. In addition to the results shown in this paper, many additional images showing model skill and biases are available on the SubX website <sup>4</sup>.

The results shown in this paper have only scratched the surface of potential research on subsea-

sonal predictability and prediction. With the availability of subseasonal re-forecast databases such 482 as SubX and WWRP/WCRP S2S, it is now possible for the research community to extensively 483 explore the full range of subseasonal predictability, and to develop methodologies for S2S post-484 processing including forecast calibration and multi-model ensembling (e.g. Vigaud et al. (2017a); 485 Vigaud et al. (2017b)). The availability of real-time subseasonal forecasts in SubX also enables the development of real-time forecast demonstration prototypes for applications use in various 487 socio-economic sectors. We encourage the community to utilize the SubX database to these ends. 488 Finally, we wish to highlight that the SubX database is also an ideal framework for testing 489 model improvements for subseasonal predictions. For example, Sun et al. (2018, manuscript in preparation) have already undertaken an effort to test the impact of including more model levels 491 to resolve the stratosphere following the SubX re-forecast protocol. This has made it possible 492 to compare the results of their model improvements in a prediction framework and against the suite other SubX models. Colleagues at NRL are also testing the impact of better resolving the 494 stratosphere in their model (N.Barton, personal communication). Additionally, Green et al. (2017) 495 and Sun et al. (2018b) have used the SubX framework for testing the impact of a new subgridscale convection scheme. We encourage future model development efforts to utilize SubX as a 497 framework for improving subseasonal predictions. 498

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<sup>4</sup>http://cola.gmu.edu/kpegion/subx/

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# 695 LIST OF TABLES

696 697 698	Table 1.	Summary of models participating in SubX, A=atmosphere, O=Ocean, I=sea ice, and L=land. Numbers in the ensemble members column apply to reforecasts and real-time forecasts unless indicated by brackets [] which indicate
699 700		a different number of ensemble members used in real-time forecasts than those used in the re-forecasts
701 702	Table 2.	Priority 1 variables: fields required to support Climate Prediction Center operational products
703 704	Table 3.	Priority 2 variables: fields needed to support evaluation of many S2S phenomena for research purposes

TABLE 1. Summary of models participating in SubX, A=atmosphere, O=Ocean, I=sea ice, and L=land.

Numbers in the ensemble members column apply to re-forecasts and real-time forecasts unless indicated by

brackets [] which indicate a different number of ensemble members used in real-time forecasts than those used

in the re-forecasts.

Model	Components	Ensemble Members	Length (Days)	Years	Reference(s)
NCEP-CFSv2	A,O,I,L	4	45	1999-2016	Saha et al. (2014)
EMC-GEFS	A,L	11 [21]	35	1999-2016	Zhou et al. (2016); Zhou et al. (2017); Zhu et al. (2018)
ECCC-GEM	A,L	4 [21]	32	1999-2014	Lin et al. (2016)
GMAO-GEOS5	A,O,I,L	4	45	1999-2015	Koster et al. (2007); Molod et al. (2012); Reichle and Liu (2014); Rienecker et al. (2008)
NRL-NESM	A,O,I,L	4	45	1999-2016	Hogan et al. (2014); Metzger et al. (2014)
RSMAS-CCSM4	A,O,I,L	3 [9]	45	1999-2016	Infanti and Kirtman (2016)
ESRL-FIM	A,O,I,L	4	32	1999-2016	Sun et al. (2018a); Sun et al. (2018b)

TABLE 2. Priority 1 variables: fields required to support Climate Prediction Center operational products

Variable	Level	Unit	Frequency
Geopotential Height	500 hPa	m	Average of instantaneous values at 0,6,12, 18 UTC
Geopotential Height	200 hPa	m	Average of instantaneous values at 0,6,12, 18 UTC
Zonal Velocity	850 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Zonal Velocity	200 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Meridional Velocity	850 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Meridional Velocity	200 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Temperature	2m	K	Daily Average
Precipitation Flux	Surface	kgm <sup>-2</sup> s <sup>-1</sup>	Accumulated every 24 hours
Surface Temperature (SST+Land)	Surface	K	Daily Average
Outgoing Longwave Radiation	top of atmosphere	Wm <sup>-2</sup>	Accumulated every 24 hours

TABLE 3. Priority 2 variables: fields needed to support evaluation of many S2S phenomena for research purposes

Variable	Level	Unit	Frequency
Specific Humidity	850 hPa	1	Daily Average
Vertical Velocity	500 hPa	Pas-1	Average of instantaneous values at 0,6,12, 18 UTC
Zonal Velocity	100 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Meridional Velocity	100 hPa	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Zonal Wind	10m	m <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Meridional Wind	10m	ms <sup>-1</sup>	Average of instantaneous values at 0,6,12, 18 UTC
Daily Maximum Temperature	2m	K	24hr instantaneous
Daily Minimum Temperature	2m	K	24hr instantaneous
Latent Heat Flux	sfc	Wm <sup>-2</sup>	Accumulated every 24 hours
Sensible Heat Flux	sfc	Wm <sup>-2</sup>	Accumulated every 24 hours
Zonal wind stress	sfc	Nm <sup>-2</sup>	Daily Average
Meridional wind stress	sfc	Nm <sup>-2</sup>	Daily Average
Mean pressure	sea level	Pa	Average of instantaneous values at 0,6,12, 18 UTC
Snow water equivalent	N/A	kgm <sup>-2</sup>	Accumulated every 24 hours
Net Radiation	sfc	Wm <sup>-2</sup>	Accumulated every 24 hours
Snow Density	N/A	kgm <sup>-2</sup>	Daily Average
Snow Cover	N/A	percent	Daily Average
Vertically integrated soil moisture	N/A	kgm <sup>-2</sup>	Daily Average
Sea ice concentration	N/A	%	Daily Average
Convective Available Potential Energy	N/A	Jkg <sup>-1</sup>	Daily Average

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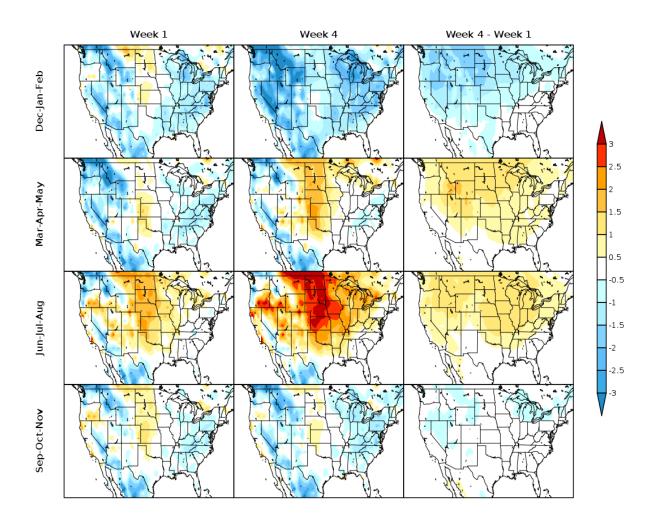


FIG. 1. Multi-model biases for 2m temperature (°C) for week 1 (left), week 4 (middle), and week 4 minus week 1 (right) for re-forecasts initialized in Dec-Jan-Feb (top row), Mar-Apr-May (second row), Jun-Jul-Aug (third row), and Sep-Oct-Nov (bottom row). Biases are calculated as model minus verification.

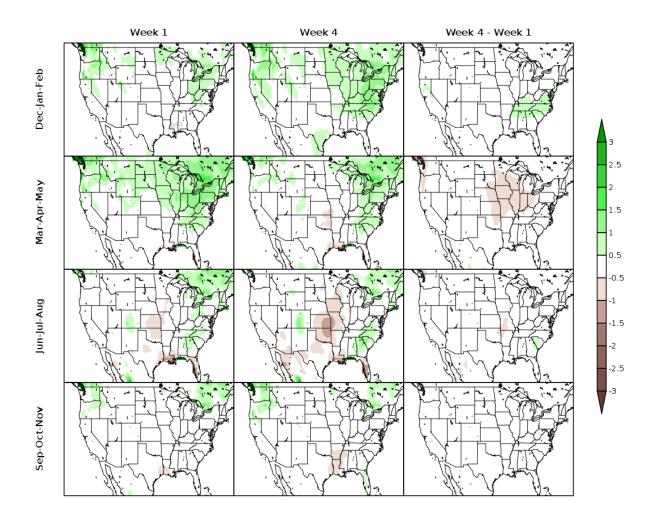
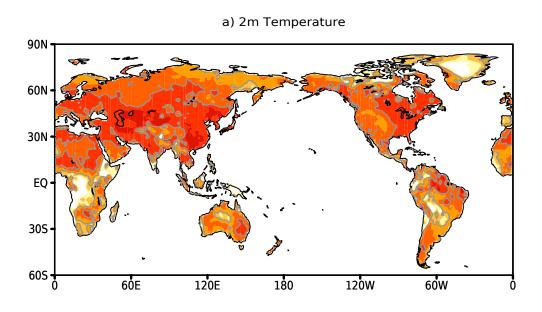


FIG. 2. Multi-model biases for precipitation (mm day-1) for week 1 (left), week 4 (middle), and week 4 minus week 1(right) for re-forecasts initialized in Dec-Jan-Feb (top row), Mar-Apr-May (second row), Jun-JulAug (third row), and Sep-Oct-Nov (bottom row). Biases are calculated as model minus verification.



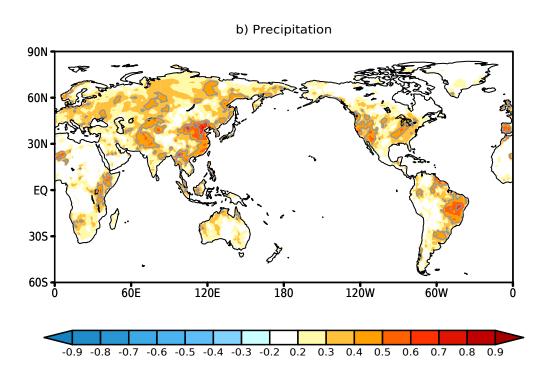


FIG. 3. Multi-model Ensemble ACC for week-2 (a) 2m temperature and (b) precipitation. ACC is calculated over re-forecasts with initial conditions for from Dec-J4n-Feb.

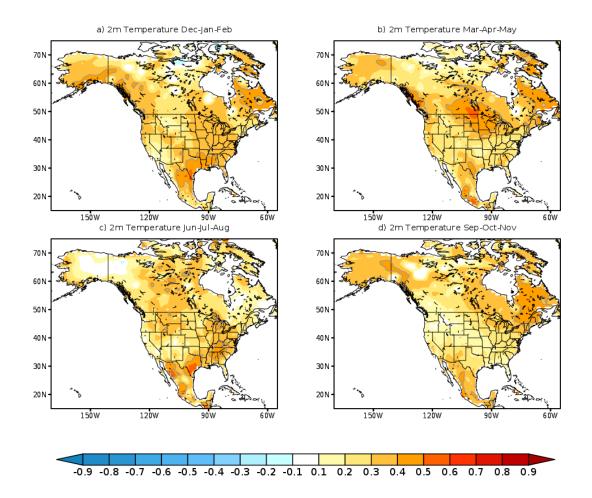


FIG. 4. Multi-model Ensemble ACC for week 3-4 2m temperature over North America. ACC is calculated over re-forecasts with initial conditions for (a) Dec-Jan-Feb, (b) Mar-Apr-May, (c) Jun-Jul-Aug, and (d) Sep-Oct-Nov.

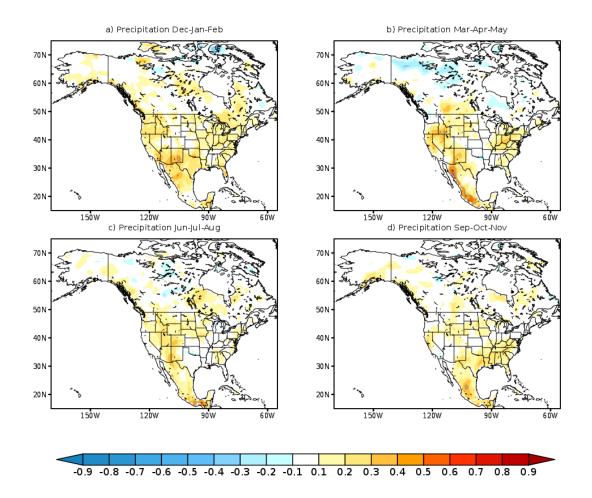
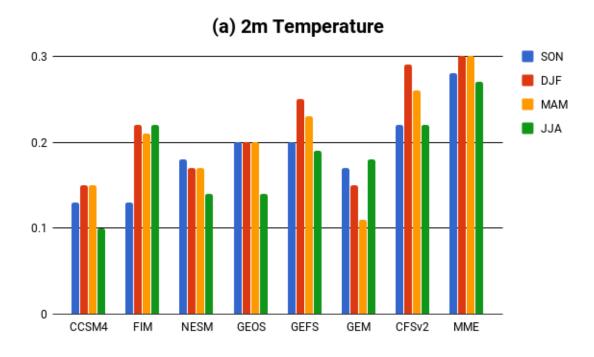


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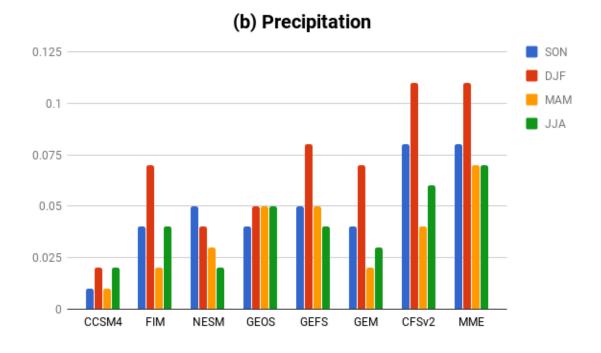


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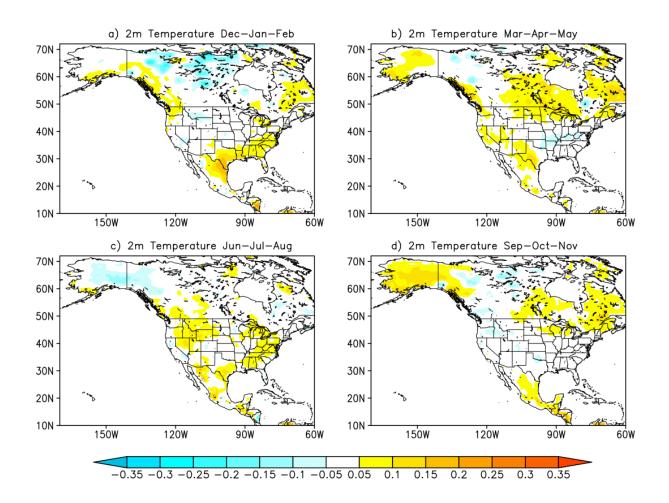


FIG. 7. Multi-model RPSS for week 3-4 2m temperature. RPSS is calculated over re-forecasts initialized in
(a) Dec-Jan-Feb, (b) Mar-Apr-May, (c) Jun-Jul-Aug, and (d) Sep-Oct-Nov.

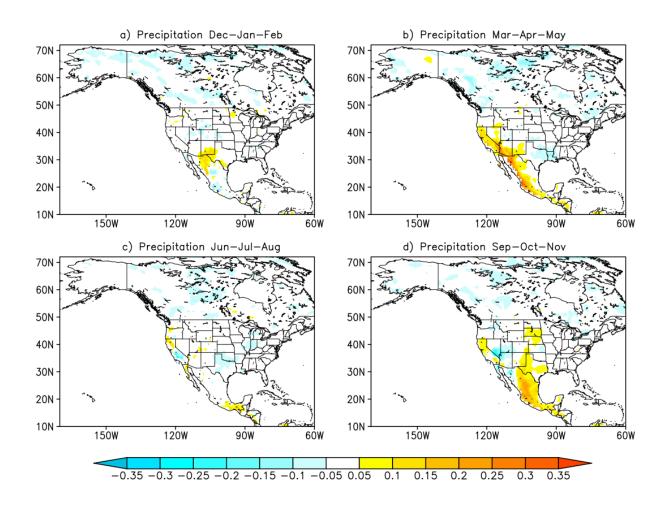
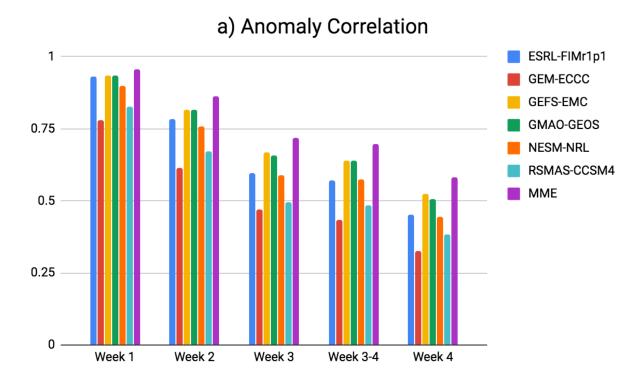


FIG. 8. Multi-model RPSS for week 3-4 precipitation. RPSS is calculated over re-forecasts initialized in (a)
Dec-Jan-Feb, (b) Mar-Apr-May, (c) Jun-Jul-Aug, and (d) Sep-Oct-Nov initialized forecasts.



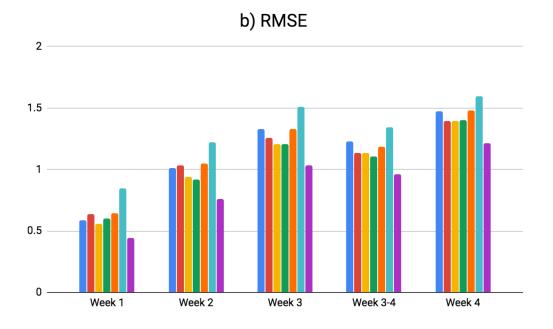
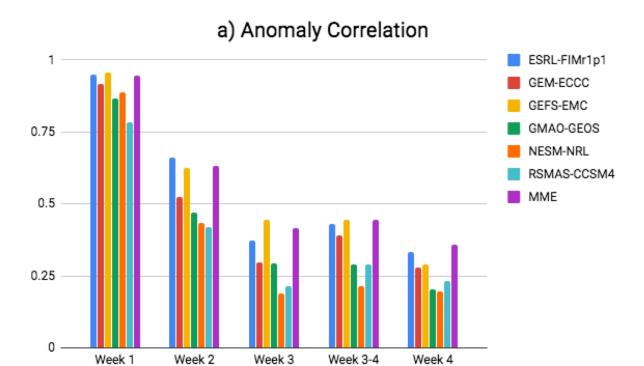


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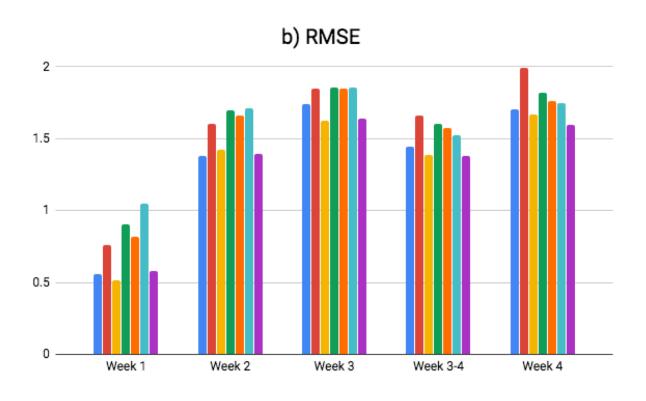


FIG. 10. NAO skill ACC (left) and RMSE (right) for Dec-Feb initialized re-forecasts.

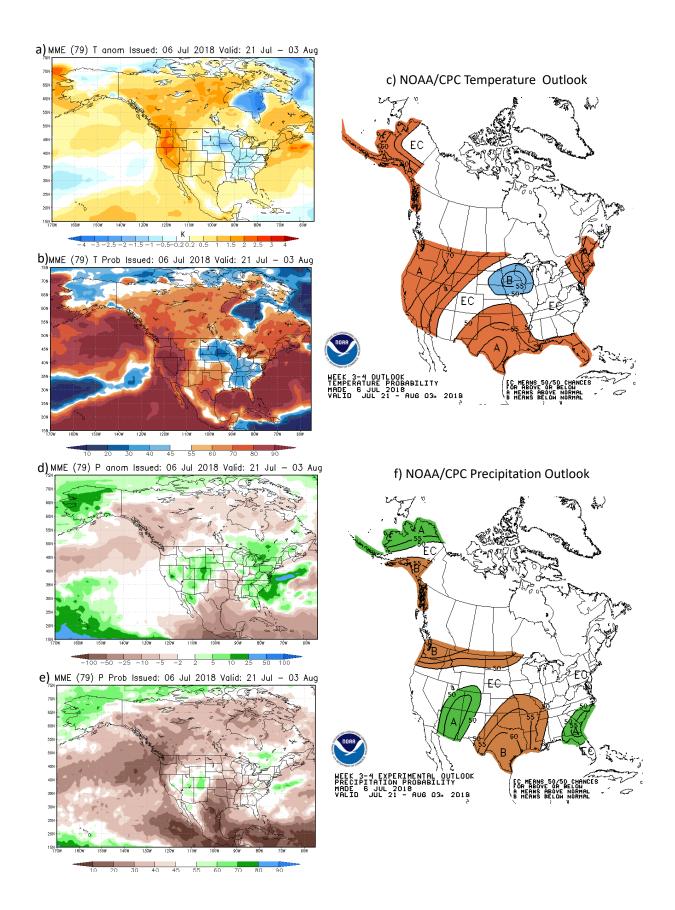


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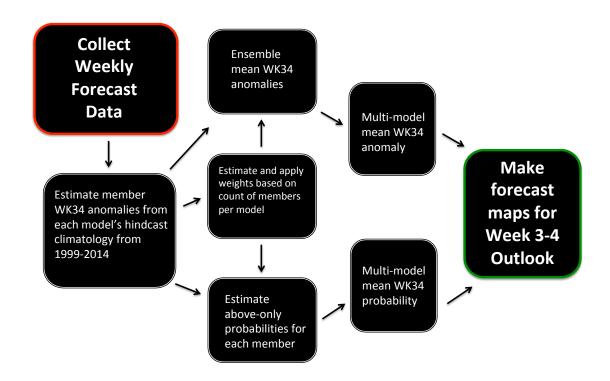


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